

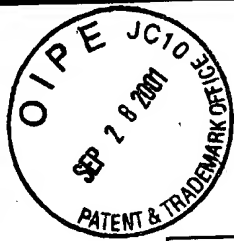
0300 Sector #

<b>TRANSMITTAL FORM</b>  (for all correspondence after initial filing)	Attorney Docket No. <b>LWE-144</b>	Total Pages
	Application Number <b>09/863,842</b>	
	Filing Date <b>5/22/01</b>	
	First Named Inventor <b>MARK A. ARBORE</b>	
	Group Art Unit <b>2881</b>	
	Examiner <b>NOT ASSIGNED</b>	

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	<input checked="" type="checkbox"/> Response to Notice of Missing Parts
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Small Entity Statement
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Declaration by Inventors
<input type="checkbox"/> Response/Amendment	<input checked="" type="checkbox"/> Assignment papers
<input type="checkbox"/> After Final Rejection	<input checked="" type="checkbox"/> Power of Attorney by Assignee
<input type="checkbox"/> After Allowance communication to Group	<input type="checkbox"/> IDS/PTO-1449
<input type="checkbox"/> with Corrected Drawing(s) Total Sheets: [ ]	<input type="checkbox"/> with copies of cited references
<input type="checkbox"/> with Affidavits/Declarations	<input type="checkbox"/> New Power of Attorney and Revocation of Old
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Other:

SIGNATURE OF AGENT	
NAME	KATHARINA WANG SCHUSTER, REG. NO. P-50,000
Signature	<i>Katharina Schuster</i>
Date	Sept. 25, 2001

Certificate of Mailing by "Regular Mail"	
I hereby certify that this correspondence is being deposited on the date indicated below as first class mail with the U.S. Postal Service addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.	
<i>Nicholas Appmann</i> SIGNATURE	DATE OF MAILING: Sept. 25, 2001
<i>Nicholas Appmann</i> NAME OF PERSON SIGNING	



# FEE TRANSMITTAL

Application Number:	09/863,842
Filing Date:	5/22/2001
First Named Inventor:	Mark A. Arbore
Title of Invention:	Tunable Light Source Employing Optical Parametric Oscillation Near Degeneracy
Group Art Unit:	2881
Examiner:	Not assigned
Attorney Docket No.:	LWE-144

## Fee Calculation:

for ☐ Large Entity / ☒ Small Entity.

### Basic Billing Fee:

<input checked="" type="checkbox"/> Utility Patent Application:	\$710 / \$355	\$355
<input type="checkbox"/> Provisional Patent Application:	\$150 / \$75	\$

### Claims:

<input checked="" type="checkbox"/> Number of Total Claims Over 20: [36]	x \$18 / \$9 =	\$324
<input checked="" type="checkbox"/> No. of Independent Claims Over 3: [2]	x \$80 / \$40 =	\$80

### Other Fees:

<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
<input type="checkbox"/> Extension of time, 2 months	\$390 / \$195	\$
<input type="checkbox"/> Extension of time, 3 months	\$890 / \$445	\$
<input type="checkbox"/> Extension of time, 4 months	\$1390 / \$695	\$
<input checked="" type="checkbox"/> Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$65
<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
<input checked="" type="checkbox"/> Recordation of Assignment Document	\$40	\$40
<input type="checkbox"/> Issue Fee	\$1240 / \$620	\$
<input type="checkbox"/> Printed Patent; Number of Copies: [ ]	x \$3 =	\$

### TOTAL PAYMENT:

\$864

### Method of Payment:

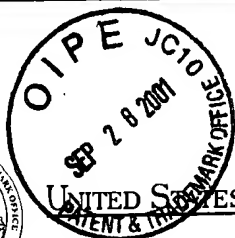
☒ Payment Enclosed

☒ Check

### Signature of Applicant, Attorney, or Agent

*Katharina Schuster*  
Katharina Wang Schuster, Reg. No. P-50,000

*Sept. 25, 2001*  
Date



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,842	05/22/2001	Mark A. Arbore	LWE-144

CONFIRMATION NO. 8969

## FORMALITIES LETTER



\*OC000000006339617\*

MAREK ALBOSZTA  
LUMEN INTELLECTUAL PROPERTY SERVICES  
45 CABOT AVENUE, SUITE 110  
SANTA CLARA, CA 95051

Date Mailed: 07/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/02/2001 BABRAM1 00000040 09863842

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	324.00 OP
03 FC:202	80.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$404.
  - \$324 for 36 total claims over 20.
  - \$80 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 824.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE